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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/670,787

09/26/2003

Seung Jun Han

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EXAMINER

HAN, JASON

ART UNIT

PAPER NUMBER

2875

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10/31/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/670,787	<b>Applicant(s)</b> HAN ET AL.	
	<b>Examiner</b> JASON M. HAN	<b>Art Unit</b> 2875	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 03 October 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-9 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-9 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 26 September 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
- ☒ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                       | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>20081015</u> .  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on October 3, 2008 has been entered.

### ***Response to Arguments***

2. Applicant's arguments with respect to Claims 1-7 have been considered but are moot in view of the new ground(s) of rejection.

3. In response to Applicant's argument, "In other words, four power sources are necessary in Duijenveldt" [Page 7], Duijenveldt (U.S. Patent 5,975,722 A) does teach in AN ALTERNATIVE EMBODIMENT that four power sources may be used, but also clearly shows and teaches a configuration or embodiment, wherein two power sources (8, 9) are used to power the lamps [Column 6, Lines 37-45].

### ***Claim Rejections - 35 USC § 112***

4. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

5. Claims 1-9 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which

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was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

6. With regard to Independent Claims 1, 5, and 8-9, there is insufficient disclosure for both a low and high voltage from a first AC voltage and a low and high voltage from a second AC voltage. Nowhere in the original specification is there support for a second AC voltage being applied to a plurality of the lamps.

7. At present, the best-deemed interpretation has been applied in the prior art rejection below.

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*The following claims have been rejected in light of the specification, but rendered the broadest interpretation as stated by the Applicant within the context of the body of the claim language and as construed by the Examiner [MPEP 2111].*

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### ***Claim Rejections - 35 USC § 102***

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

9. Claims 1-4 are rejected under 35 U.S.C. 102(b) as being anticipated by Van Duijneveldt (U.S. Patent 5,975,722 A).

10. With regards to Claim 1, Van Duijneveldt discloses a backlight unit including:

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- A lamp housing [Figures 1A-B: (6)] having a first side and a second side opposite the first side; and
- A plurality of lamps [Figures 1A-B: (4<sup>n</sup>, 5<sup>n</sup>)] respectively having a low voltage electrode [Figure 1A: (b)] and a high voltage electrode [Figure 1A: (a)] each at opposite ends of the lamp, the lamps arranged substantially parallel in the lamp housing,
- Wherein the plurality of low voltage electrodes of odd-numbered lamps are disposed at the first side [e.g., Figures 1A-B: (b) connected to (9)] and the plurality of high voltage electrodes of odd-numbered lamps are disposed at the second side [e.g., Figures 1A-B: (a) connected to (9)],
- Wherein the plurality of high voltage electrodes of even-numbered lamps are disposed at the first side [e.g., Figures 1A-B: (a) connected to (8)] and the plurality of low voltage electrodes of even-numbered lamps are disposed at the second side [e.g., Figures 1A-B: (b) connected to (8)],
- Wherein a low voltage of a first AC voltage [Figures 1A-B: (b, 9)] is applied to the plurality of low voltage electrodes of odd-numbered lamps at the first side and a high voltage of the first AC voltage [Figures 1A-B: (a, 9)] is applied to the plurality of high voltage electrodes of odd-numbered lamps at the second side, and
- Wherein a high voltage of a second AC voltage [Figures 1A-B: (a, 8)] is applied to the plurality of high voltage electrodes of even-numbered lamps at the first side and a low voltage of the second AC voltage [Figures 1A-B: (b, 8)]

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is applied to the plurality of low voltage electrodes of even-numbered lamps at the second side.

11. With regards to Claim 2, Van Duijneveldt discloses the backlight unit further incorporating a diffusion plate [Figures 1A-B, 5: (7, 47)] located on the lamp housing [Figures 1A-B: (6, 46)]; and an optical sheet [Figure 5: (53, 51)] located on the diffusion plate.

12. With regards to Claim 3, Van Duijneveldt discloses the plurality of low voltage electrodes [Figure 1A: (b)] and the plurality of high voltage electrodes [Figure 1A: (a)] of the lamps are respectively arranged in zigzag fashion.

13. With regards to Claim 4, Van Duijneveldt discloses the plurality of low voltage electrodes [Figure 1A: (b)] and the plurality of high voltage [Figures 1A: (a)] electrodes of the lamps being alternately arranged by a number greater than 2.

14. Claims 5-7 are rejected under 35 U.S.C. 102(b) as being anticipated by Van Duijneveldt (U.S. Patent 5,975,722 A).

15. With regards to Claim 5, Van Duijneveldt discloses a liquid crystal display including:

- A back light unit including:
  - = A lamp housing [Figures 1A-B, 5: (6, 46)] having a first side and a second side opposite the first side;
  - = A plurality of lamps [Figures 1A-B, 5: (4<sup>n</sup>, 5<sup>n</sup>, 44<sup>n</sup>, 45<sup>n</sup>)] respectively having a low voltage electrode [Figures 1A-B: (b)] and a high voltage

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electrode [Figures 1A-B: (b)] each at opposite ends of the lamp and arranged substantially parallel in the lamp housing; and

- A liquid crystal panel [Figure 5: (51)] disposed on the back light unit and having a plurality of liquid crystal cells arranged in matrix form,
- Wherein the plurality of low voltage electrodes of odd-numbered lamps are disposed at the first side [e.g., Figures 1A-B: (b) connected to (9)] and the plurality of high voltage electrodes of odd-numbered lamps are disposed at the second side [e.g., Figures 1A-B: (a) connected to (9)],
- Wherein the plurality of high voltage electrodes of even-numbered lamps are disposed at the first side [e.g., Figures 1A-B: (a) connected to (8)] and the plurality of low voltage electrodes of even-numbered lamps are disposed at the second side [e.g., Figures 1A-B: (b) connected to (8)],
- Wherein a low voltage of a first AC voltage [Figures 1A-B: (b, 9)] is applied to the plurality of low voltage electrodes of odd-numbered lamps at the first side and a high voltage of the first AC voltage [Figures 1A-B: (a, 9)] is applied to the plurality of high voltage electrodes of odd-numbered lamps at the second side, and
- Wherein a high voltage of a second AC voltage [Figures 1A-B: (a, 8)] is applied to the plurality of high voltage electrodes of even-numbered lamps at the first side and a low voltage of the second AC voltage [Figures 1A-B: (b, 8)] is applied to the plurality of low voltage electrodes of even-numbered lamps at the second side.

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16. With regards to Claim 6, Van Duijneveldt discloses the plurality of low voltage electrodes [Figure 1A: (b)] and the plurality of high voltage electrodes [Figure 1A: (a)] of the lamps are respectively arranged in zigzag fashion.

17. With regards to Claim 7, Van Duijneveldt discloses the plurality of low voltage electrodes [Figure 1A: (b)] and the plurality of high voltage electrodes [Figures 1A: (a)] of the lamps being alternately arranged by a number greater than 2.

18. Claim 8 is rejected under 35 U.S.C. 102(b) as being anticipated by Van Duijneveldt (U.S. Patent 5,975,722 A).

19. With regards to Claim 8, Van Duijneveldt discloses a backlight unit including:

- A lamp housing [Figures 1A-B: (6)] having a first side and a second side opposite the first side; and
- A plurality of lamps [Figures 1A-B: (4<sup>n</sup>, 5<sup>n</sup>)] respectively having a low voltage electrode [Figure 1A: (b)] and a high voltage electrode [Figure 1A: (a)] each at opposite ends of the lamp, the lamps arranged substantially parallel in the lamp housing,
- Wherein the lamps have odd-numbered N-number (where N is a positive integer more than 2) lamps [Figures 1A-B: (9)] and even-numbered N-number (where N is a positive integer more than 2) lamps [Figures 1A-B: (8)],
- Wherein the plurality of low voltage electrodes of odd-numbered N-number lamps are disposed at the first side [e.g., Figures 1A-B: (b) connected to (9)] and the plurality of high voltage electrodes of odd-numbered N-numbered



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- lamps are disposed at the second side [e.g., Figures 1A-B: (a) connected to (9)],
- Wherein the plurality of high voltage electrodes of even-numbered N-number lamps are disposed at the first side [e.g., Figures 1A-B: (a) connected to (8)] and the plurality of low voltage electrodes of even-numbered N-number lamps are disposed at the second side [e.g., Figures 1A-B: (b) connected to (8)],
  - Wherein a low voltage of a first AC voltage [Figures 1A-B: (b, 9)] is applied to the plurality of low voltage electrodes of odd-numbered N-number lamps at the first side and a high voltage of the first AC voltage [Figures 1A-B: (a, 9)] is applied to the plurality of high voltage electrodes of odd-numbered N-number lamps at the second side, and
  - Wherein a high voltage of a second AC voltage [Figures 1A-B: (a, 8)] is applied to the plurality of high voltage electrodes of even-numbered N-number lamps at the first side and a low voltage of the second AC voltage [Figures 1A-B: (b, 8)] is applied to the plurality of low voltage electrodes of even-numbered N-number lamps at the second side.
20. Claim 9 is rejected under 35 U.S.C. 102(b) as being anticipated by Van Duijneveldt (U.S. Patent 5,975,722 A).
21. With regards to Claim 9, Van Duijneveldt discloses a liquid crystal display including:
- A back light unit including:

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- = A lamp housing [Figures 1A-B, 5: (6, 46)] having a first side and a second side opposite the first side;
- = A plurality of lamps [Figures 1A-B, 5: (4<sup>n</sup>, 5<sup>n</sup>, 44<sup>n</sup>, 45<sup>n</sup>)] respectively having a low voltage electrode [Figures 1A-B: (b)] and a high voltage electrode [Figures 1A-B: (b)] each at opposite ends of the lamp and arranged substantially parallel in the lamp housing; and
- A liquid crystal panel [Figure 5: (51)] disposed on the back light unit and having a plurality of liquid crystal cells arranged in matrix form,
- Wherein the lamps have odd-numbered N-number (where N is a positive integer more than 2) lamps [Figures 1A-B: (9)] and even-numbered N-number (where N is a positive integer more than 2) lamps [Figures 1A-B: (8)],
- Wherein the plurality of low voltage electrodes of odd-numbered N-number lamps are disposed at the first side [e.g., Figures 1A-B: (b) connected to (9)] and the plurality of high voltage electrodes of odd-numbered N-numbered lamps are disposed at the second side [e.g., Figures 1A-B: (a) connected to (9)],
- Wherein the plurality of high voltage electrodes of even-numbered N-number lamps are disposed at the first side [e.g., Figures 1A-B: (a) connected to (8)] and the plurality of low voltage electrodes of even-numbered N-number lamps are disposed at the second side [e.g., Figures 1A-B: (b) connected to (8)],
- Wherein a low voltage of a first AC voltage [Figures 1A-B: (b, 9)] is applied to the plurality of low voltage electrodes of odd-numbered N-number lamps at

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- the first side and a high voltage of the first AC voltage [Figures 1A-B: (a, 9)] is applied to the plurality of high voltage electrodes of odd-numbered N-number lamps at the second side, and
- Wherein a high voltage of a second AC voltage [Figures 1A-B: (a, 8)] is applied to the plurality of high voltage electrodes of even-numbered N-number lamps at the first side and a low voltage of the second AC voltage [Figures 1A-B: (b, 8)] is applied to the plurality of low voltage electrodes of even-numbered N-number lamps at the second side.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JASON M. HAN whose telephone number is (571)272-2207. The examiner can normally be reached on 8:00am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sandra O'Shea can be reached on (571) 272-2378. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Jason M Han  
Examiner  
Art Unit 2875

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Monday, October 27, 2008